CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

LORRAINE M. GRAC

Larania In Shaf

11/13/203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

JOSHI ET AL.

APPLICATION NO: 10/656,546 FILED: SEPTEMBER 5, 2003

FOR: PHARMACEUTICAL COMPOSITION WHICH REDUCES OR

ELIMINATES DRUG ABUSE POTENTIAL

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application.

Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 09/942,808 filed August 30, 2001, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Attorney for Applicants

Hesna J. Pfleiffer

Reg. No. 22,640

Novartis Corporate Intellectual Property One Health Plaza, Building 430 East Hanover, NJ 07936-1080 (862) 778-7903

Date: November 13, 2003

- 2 -

FORM PTO-1449 (REV. 7-85) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-31972B APPLICATION NO. 10/656,546 APPLICANT JOSHI ET AL. FILING DATE SEPTEMBER 5, 2003

Group

U.S. PATENT DOCUMENTS											
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA	uss .	SUBCLA	ss	FILING DATE		
	AA	2,838,519	6/10/58	Rometsch	260		294.3				
	AB	2,957,880	10/25/60	Rometsch	260		294				
	AC	5,773,478	6/30/98	Richards, et al	514		649				
••	AD	5,874,090	2/23/99	Baker, et al	424		400				
	AE	5,908,850	6/1/99	Zeitlin, et al	514		315				
	AF	5,922,736	7/13/99	Dariani, et al	514		317				
	AG	5,936,091	8/10/99	Khetani, et al	546		233				
	АН	5,965,734	10/12/99	Ramaswamy, et al	546		233				
	Al	6,100, 401	8/8/00	Prashad, et al	546		233				
	AJ	6,113,879	9/5/00	Richards, et al	424		9.1				
	AK	6,121,453	9/19/00	Zavareh	546		238				
·	AL	6,162,919	12/19/00	Prashad, et al	546		233				
			FOREIG	N PATENT DOCUMENTS			٠	-			
		DOCUMENT NUMBER	DATE	OFFICE	CLASS			TRAI YES	RANSLATION ES NO		
	АМ	WO 97/33566	9/18/97	PCT	A61K						
	AN										
-	AO	·			,						
	AP										
	AQ	`.									
		OTHER DOC	UMENTS (Including Author, Title, Date, Pertiner	nt pages, E	tc.)					
	AR Navia et al., Annals of Neurology, Vol. 19:517-524 (1986)										
	AS										
	AT										
EXAMIN	ER		-	DATE CONSIDERED							

^{*}EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-31972B APPLICATION NO. 10/656,546 APPLICANT JOSHI ET AL. FILING DATE SEPTEMBER 5, 2003

Group

			U.S. I	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS SUBC	LASS	FILING DATE
	AB	4,638,059	1/20/87	Sutherland	536	121		
	AĠ	5,055,306	10/8/91	Barry, et al	424	482		
	AC	5,631,103	5/20/97	Eschbach, et al	429	190		
	AD	5,639,573	6/17/97	Oliver, et al	429	190		
	AA	5,837,284	11/17/98	Mehta, et al	424	459		
	AE	6,066,613	5/23/00	Tsaur, et al	510	403		
	AF	6,187,828	2/13/01	Woodrum, et al	521	64		
	АН	6,120,803	09-2000	Wong et al	424	473		
	Al	6,437,000	08-2002	Mulye	514	647		
	AJ	·						
	AK					,		
	AL							
		,	FOREIG	N PATENT DOCUMENTS	3	•		
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	
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	AN	·						
	AO							
	AP							
	AQ							
		OTHER DOC	UMENTS (Including Author, Title, Date, F	Pertinent pages, E	tc.)		
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^{*}EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.